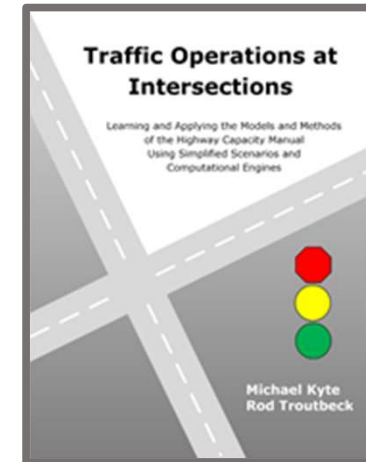


**Highway Capacity Manual  
6<sup>th</sup> Edition**

Transportation Research Board

# Learning and Applying the Methods and Models of the HCM

## A Short Course Day #3



**Traffic Operations at Intersections**  
Learning and Applying  
the Models and Methods of the  
Highway Capacity Manual  
Using Simplified Scenarios and  
Computational Engines

Michael Kyte and Rod Troutbeck

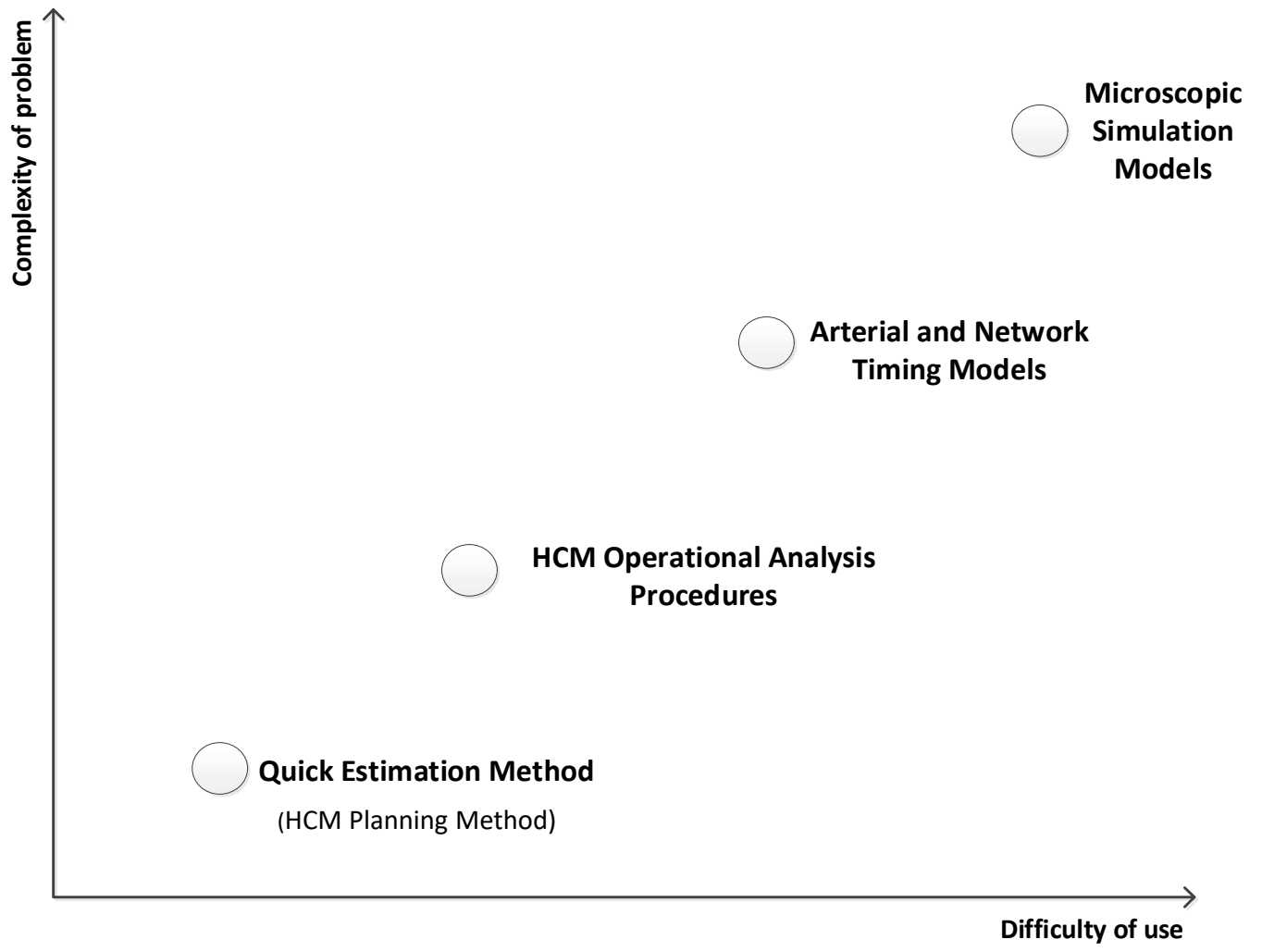
## Topics for today

- **Check-in**
- Some perspective and context
- Diving in: Exploring the simplified scenarios
- The other scenarios
- Check-out and closure

## Topics for today

- Check-in
- **Some perspective and context**
- Diving in: Exploring the simplified scenarios
- The other scenarios
- Check-out and closure

# Classification of models



Adapted from "Traffic Signal Timing Manual"

<b>Computational:</b> Directly computes results from equations or tables	<b>Simulation:</b> Tracks events and processes
<b>Empirical:</b> Based on field data	<b>Analytical:</b> Based on theory
<b>Deterministic:</b> Produces same result for given set of inputs	<b>Stochastic:</b> Results can vary based on statistical distributions
<b>Microscopic:</b> Individual driver decisions	<b>Macroscopic:</b> Aggregated flow characteristics
<b>Event scan:</b> Based on status of events of interest	<b>Time scan:</b> Updates made every time step
<b>Evaluation:</b> Performance data produced	<b>Optimization:</b> Objective function optimized based on performance data

## HCM Traffic Analysis Tools

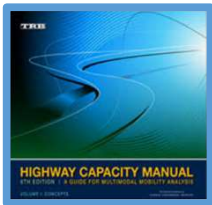
<b>Computational:</b> Directly computes results from equations or tables	<b>Simulation:</b> Tracks events and processes
<b>Empirical:</b> Based on field data	<b>Analytical:</b> Based on theory
<b>Deterministic:</b> Produces same result for given set of inputs	<b>Stochastic:</b> Results can vary based on statistical distributions
<b>Microscopic:</b> Individual driver decisions	<b>Macroscopic:</b> Aggregated flow characteristics
<b>Event scan:</b> Based on status of events of interest	<b>Time scan:</b> Updates made every time step
<b>Evaluation:</b> Performance data produced	<b>Optimization:</b> Objective function optimized based on performance data

## VISSIM microsimulation model

## From HCM Chapter 19:

The motorized vehicle methodology does not account for the effect of the following conditions on intersection operation:

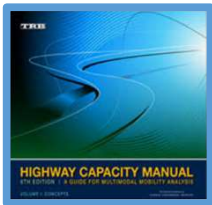
- Turn bay overflow
- Multiple advance detectors in the same lane
- Demand starvation due to a closely spaced upstream intersection
- Queue spillback into the subject intersection from a downstream intersection
- Queue spillback from the subject intersection into an upstream intersection
- Premature phase termination due to short detection length, passage time, or both
- Right-turn-on-red (RTOR) volume prediction or resulting right-turn delay
- Turn movements served by more than two exclusive lanes
- Delay to traffic movements that are not under signal control
- Through lane (or lanes) added just upstream of the intersection or dropped just downstream of the intersection
- Storage of shared-lane left-turning vehicles within the intersection to permit bypass by through vehicles in the same lane



## From HCM Chapter 19:

In addition to the above conditions, the methodology does not directly account for the following controller functions:

- Rest-in-walk mode for actuated and non-coordinated phases
- Preemption or priority modes
- Phase overlap (see discussion in text)
- Gap reduction or variable initial settings for actuated phases





## Topics for today

- Check-in
- Some perspective and context
- Diving in: Exploring the simplified scenarios
  - **Scenario 4.3 - permitted LTs**
    - Scenario 4-6 - upstream signals
- The other scenarios
- Check-out and closure

## 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

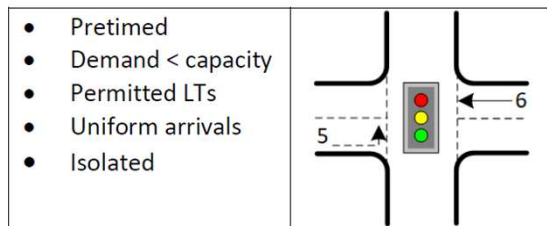


Figure 4-44. Scenario 4-3

### The Big Picture

- Permitted LTs must wait for suitable headways in the opposing traffic stream.
- The saturation flow rate for permitted LTs is lower than for protected LTs.
- Part of the green that could be available for permitted LTs is not because of the clearing of the opposing queue.

### Terms We Will Use

- Permitted LT phasing
- Exclusive LT lane
- Opposing queue

# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

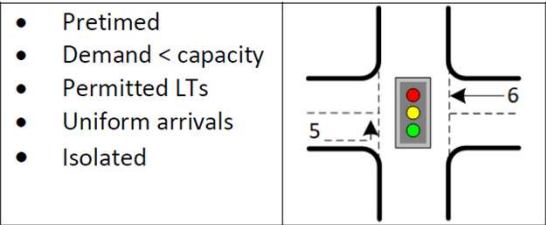
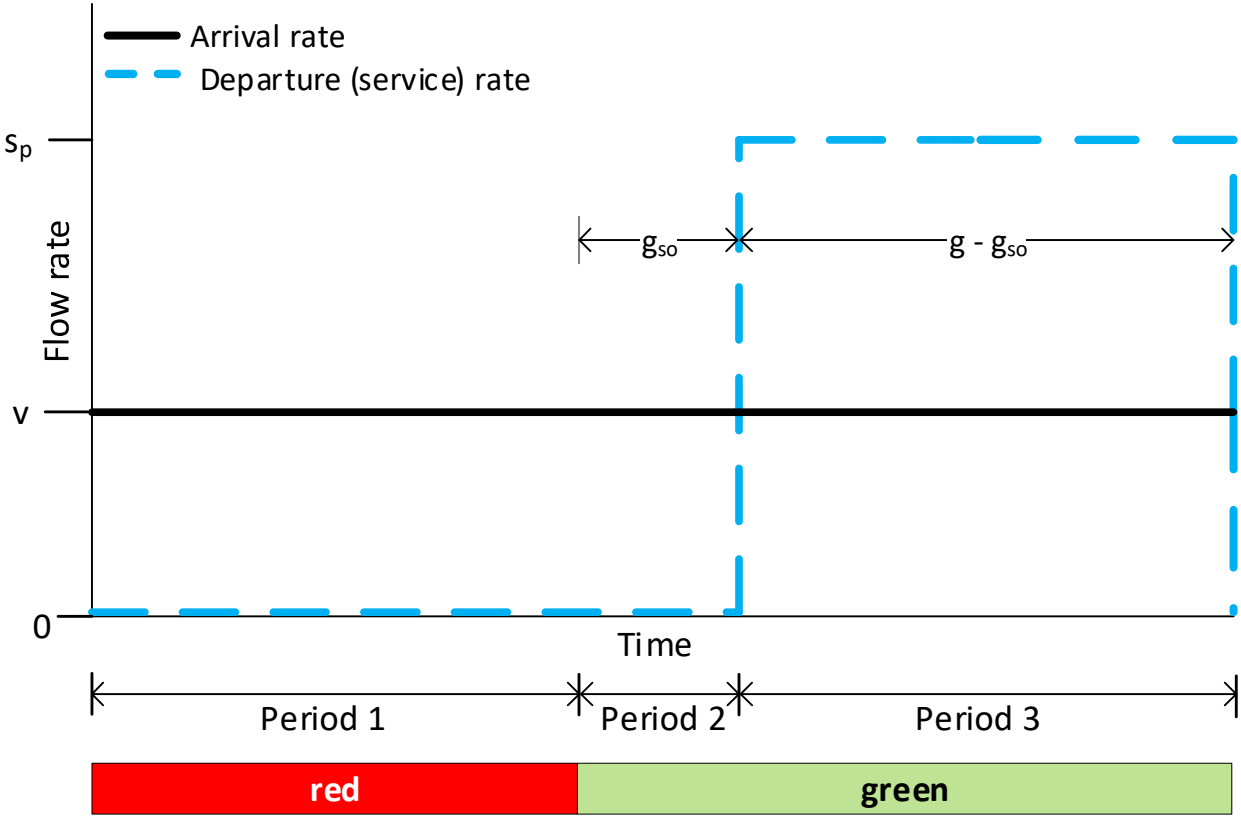


Figure 4-44. Scenario 4-3



## 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

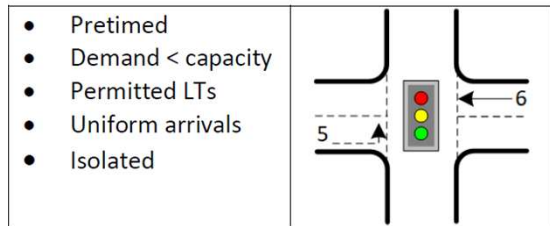


Figure 4-44. Scenario 4-3

$$s_p = \frac{v_o e^{-v_o t_c / 3600}}{1 - e^{-v_o t_f / 3600}}$$

$c$  = capacity (veh/hr)  
 $v_c$  = conflicting flow (veh/hr)  
 $t_c$  = critical headway (sec)  
 $t_f$  = follow up headway (sec)

$$g_{so} = \frac{v_o r}{s - v_o}$$

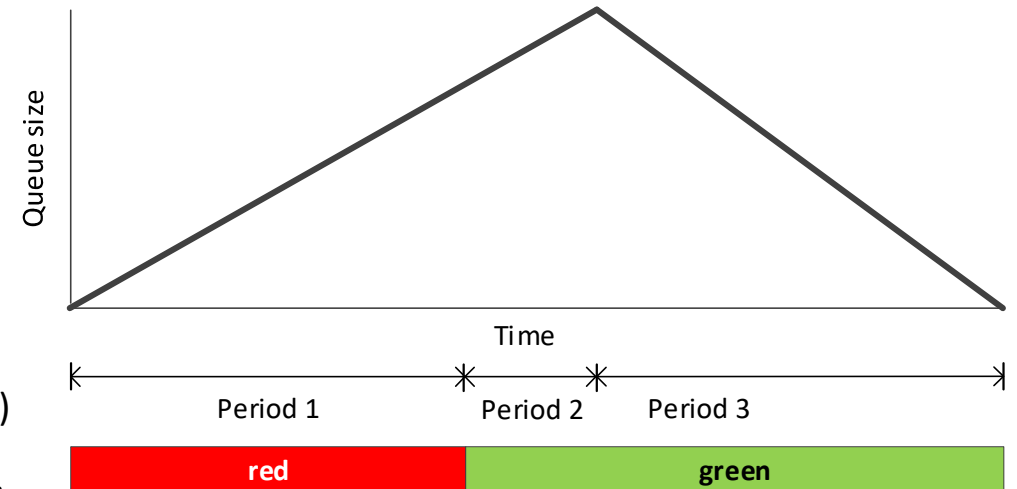
time for opposing queue to clear

$$g - g_{so}$$

subject green time available after opposing queue clears

$$c = s_p \left( \frac{g - g_{so}}{C} \right)$$

capacity of permitted LT movement from exclusive lane



## 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

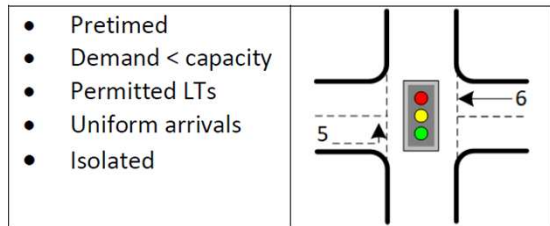
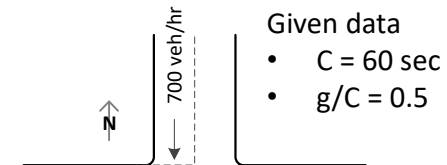


Figure 4-44. Scenario 4-3

### Example Calculation 4-12. Calculating the Capacity of a Permitted LT movement from an Exclusive LT lane



$$g_{so} = \frac{v_o r}{s - v_o} = \frac{(700)(30)}{1900 - 30} = 17.5 \text{ sec}$$

time for opposing queue to clear

$$g - g_{so} = 30 - 17.5 = 12.5 \text{ sec}$$

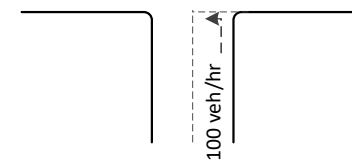
subject green time available after opposing queue clears

$$s_p = \frac{v_o e^{-v_o t_c / 3600}}{1 - e^{-v_o t_f / 3600}} = \frac{700 e^{-(700)(4.5) / 3600}}{1 - e^{-(700)(2.5) / 3600}} = 758 \text{ veh/hr}$$

saturation flow rate for permitted LT movement from exclusive lane

$$c = (758) \left( \frac{30 - 17.5}{60} \right) = 158 \text{ veh/hr}$$

capacity of permitted LT movement from exclusive lane



# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

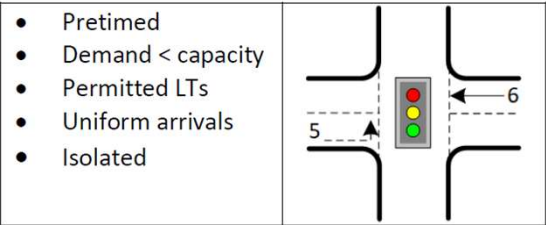
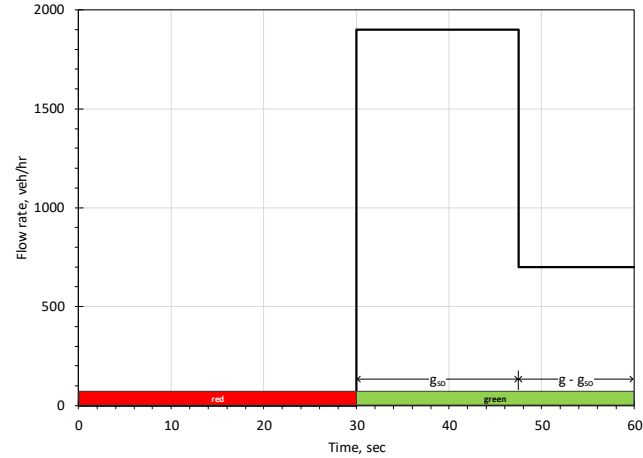
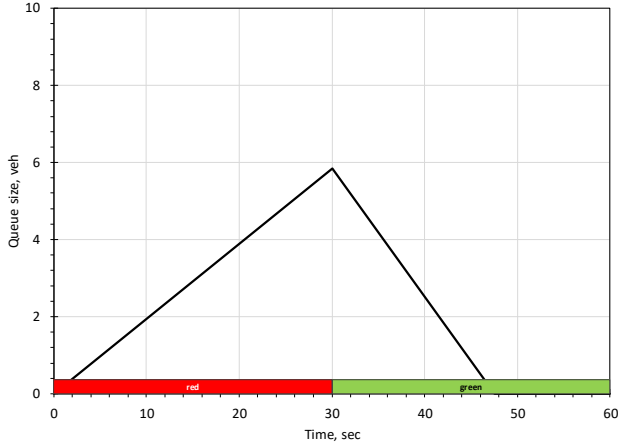


Figure 4-44. Scenario 4-3

## Example Calculation 4-12. Calculating the Capacity of a Permitted LT movement from an Exclusive LT lane



Opposing approach



# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

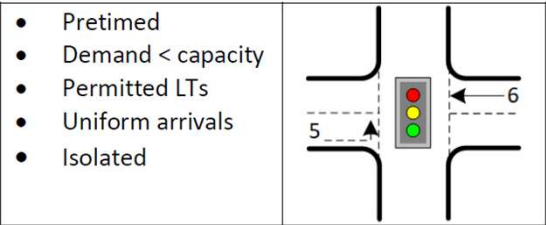
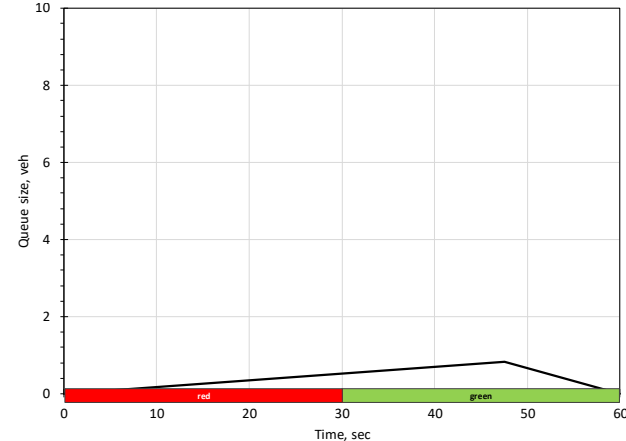
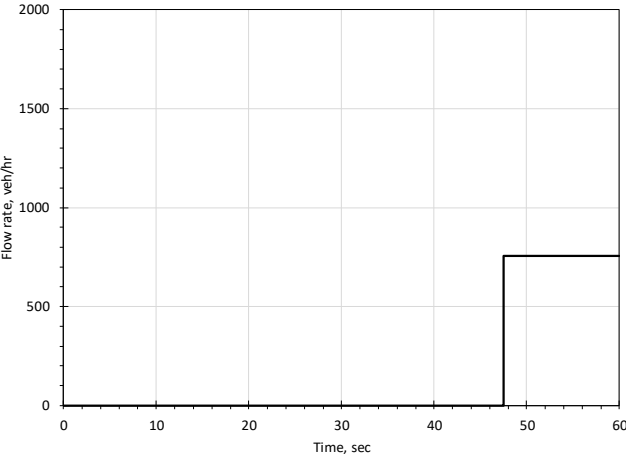


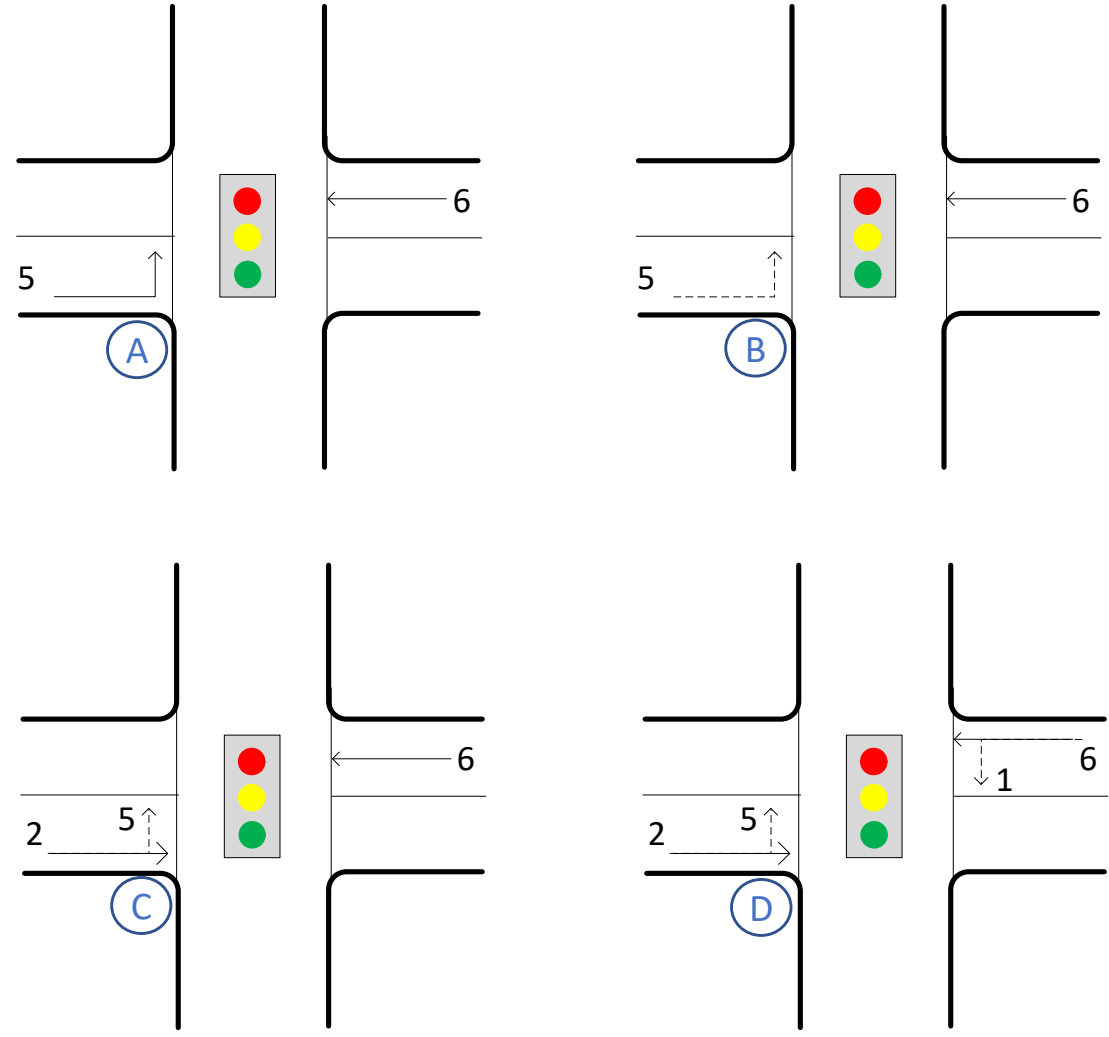
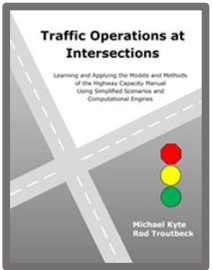
Figure 4-44. Scenario 4-3

## Example Calculation 4-12. Calculating the Capacity of a Permitted LT movement from an Exclusive LT lane

Subject approach

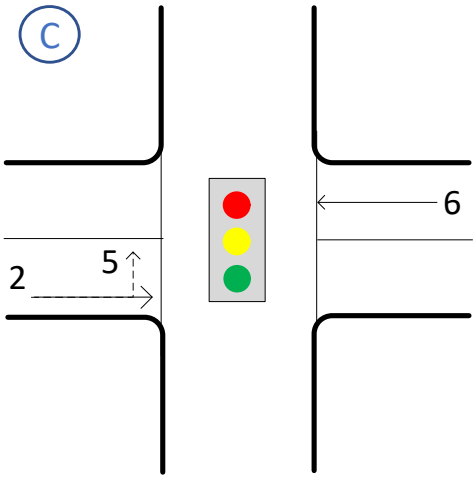


# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

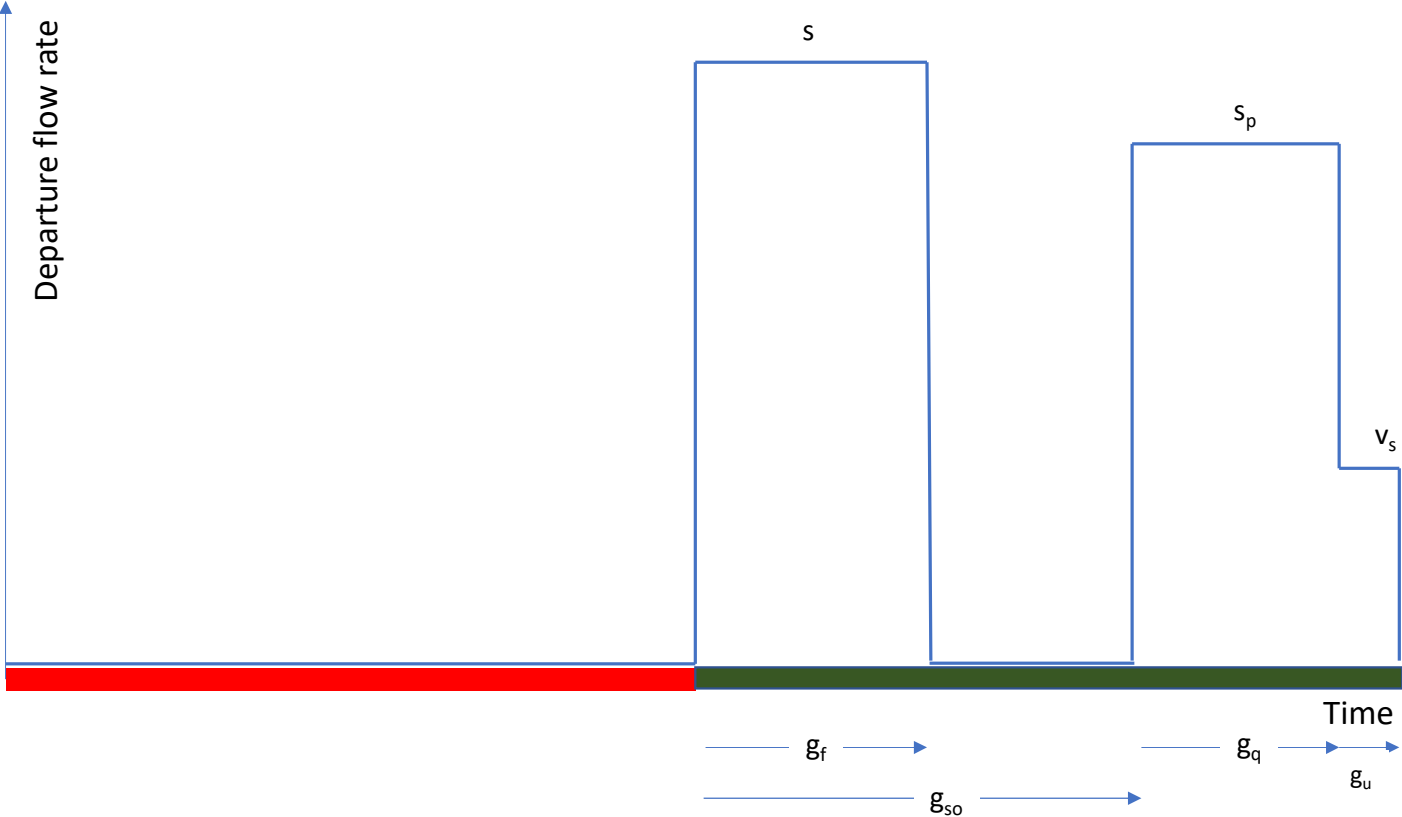




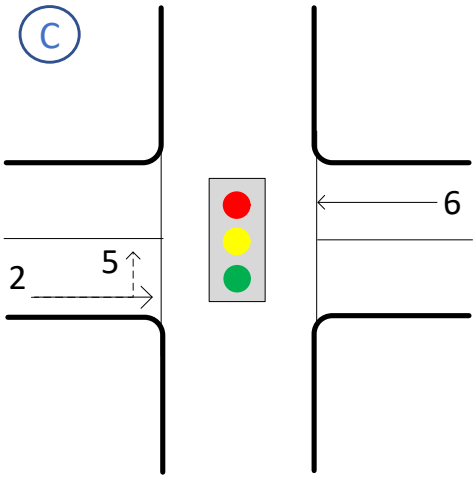
# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing



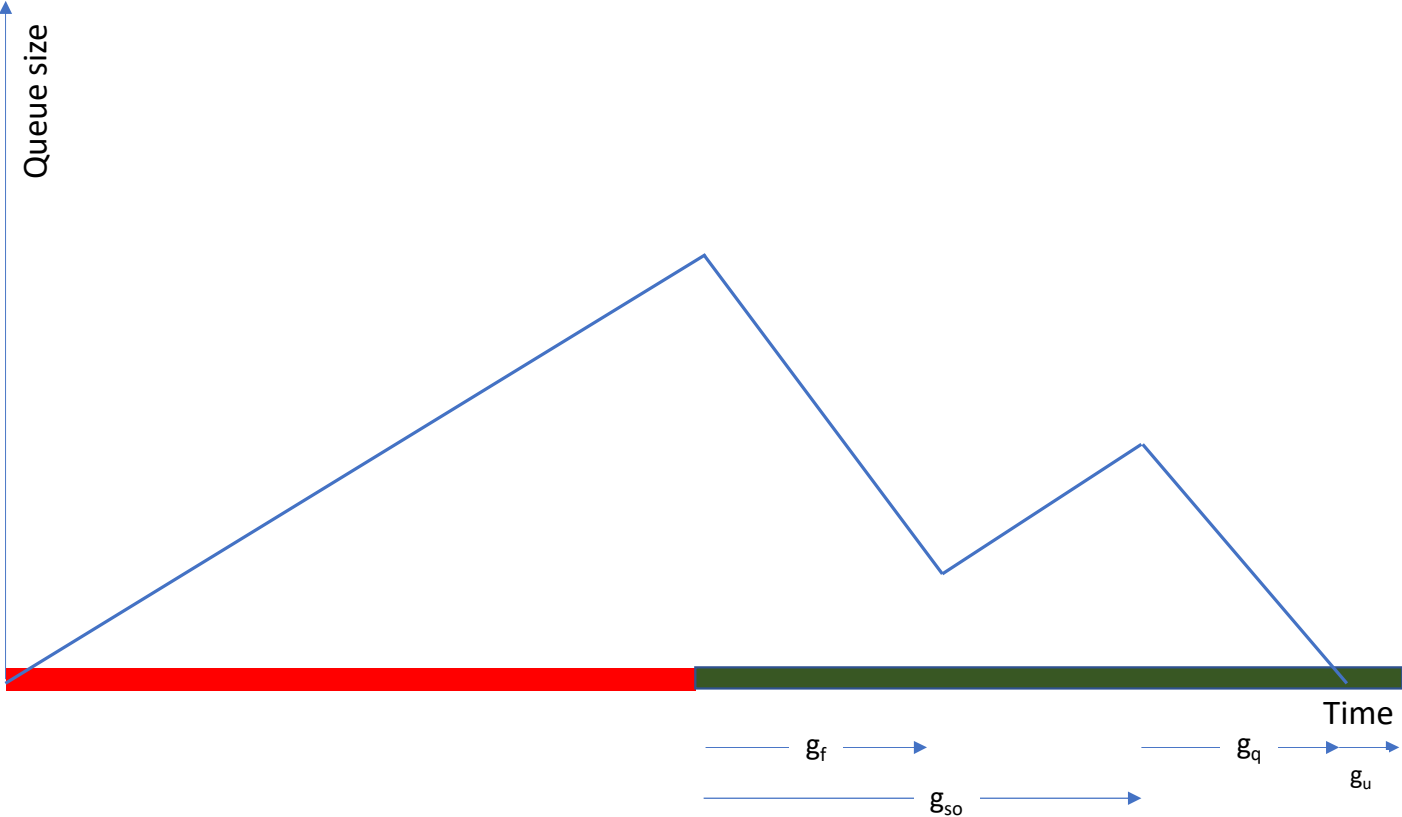
- $g_f$  = time until arrival of first subject LT vehicle
- $g_{so}$  = queue service time for opposing queue
- $g_q$  = time for second subject queue to clear
- $g_u$  = unsaturated green for subject approach after clearance of second queue



# 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing



- $g_f$  = time until arrival of first subject LT vehicle
- $g_{so}$  = queue service time for opposing queue
- $g_q$  = time for second subject queue to clear
- $g_u$  = unsaturated green for subject approach after clearance of second queue



## 8. Scenario 4-3. Calculating the Capacity of an Exclusive LT Lane with Permitted LT Phasing

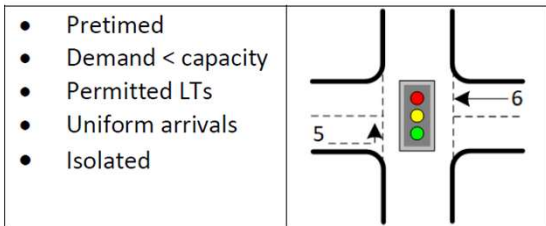


Figure 4-44. Scenario 4-3

### The Big Picture

- Permitted LTs must wait for suitable headways in the opposing traffic stream.
- The saturation flow rate for permitted LTs is lower than for protected LTs.
- Part of the green that could be available for permitted LTs is not because of the clearing of the opposing queue.



## Topics for today

- Check-in
- Some perspective and context
- Diving in: Exploring four simplified scenarios
  - Scenario 4.3 - permitted LTs
  - **Scenario 4-6 - upstream signals**
- The other scenarios
- Check-out and closure

## 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

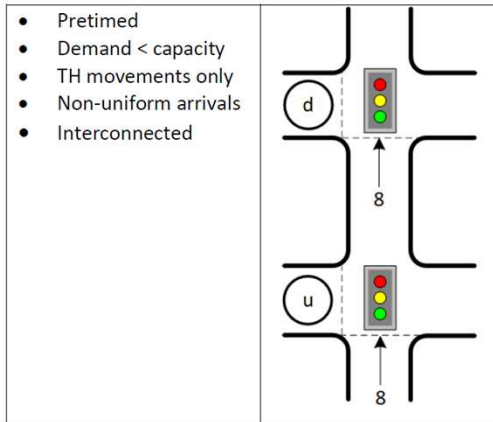


Figure 4-71. Scenario 4-6

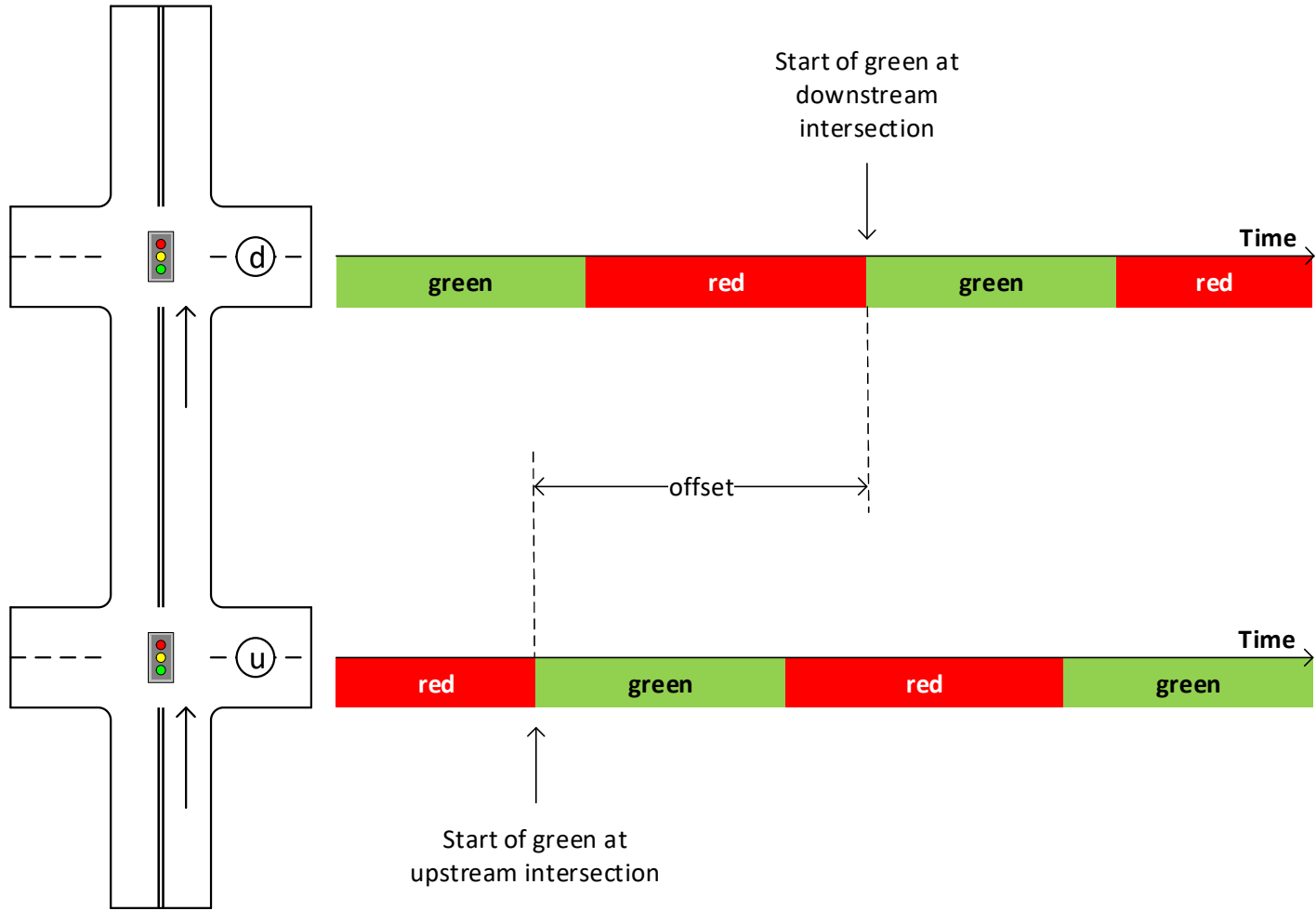
### The Big Picture

- We've previously assumed uniform arrivals.
- What happens if there is an upstream signal affecting the arrival pattern by creating platoons?
- How do we model a dispersing platoon traveling from one intersection to the next?
- How does the departure flow profile at the upstream intersection transition to the arrival flow profile at the downstream intersection?
- What is the signal offset?

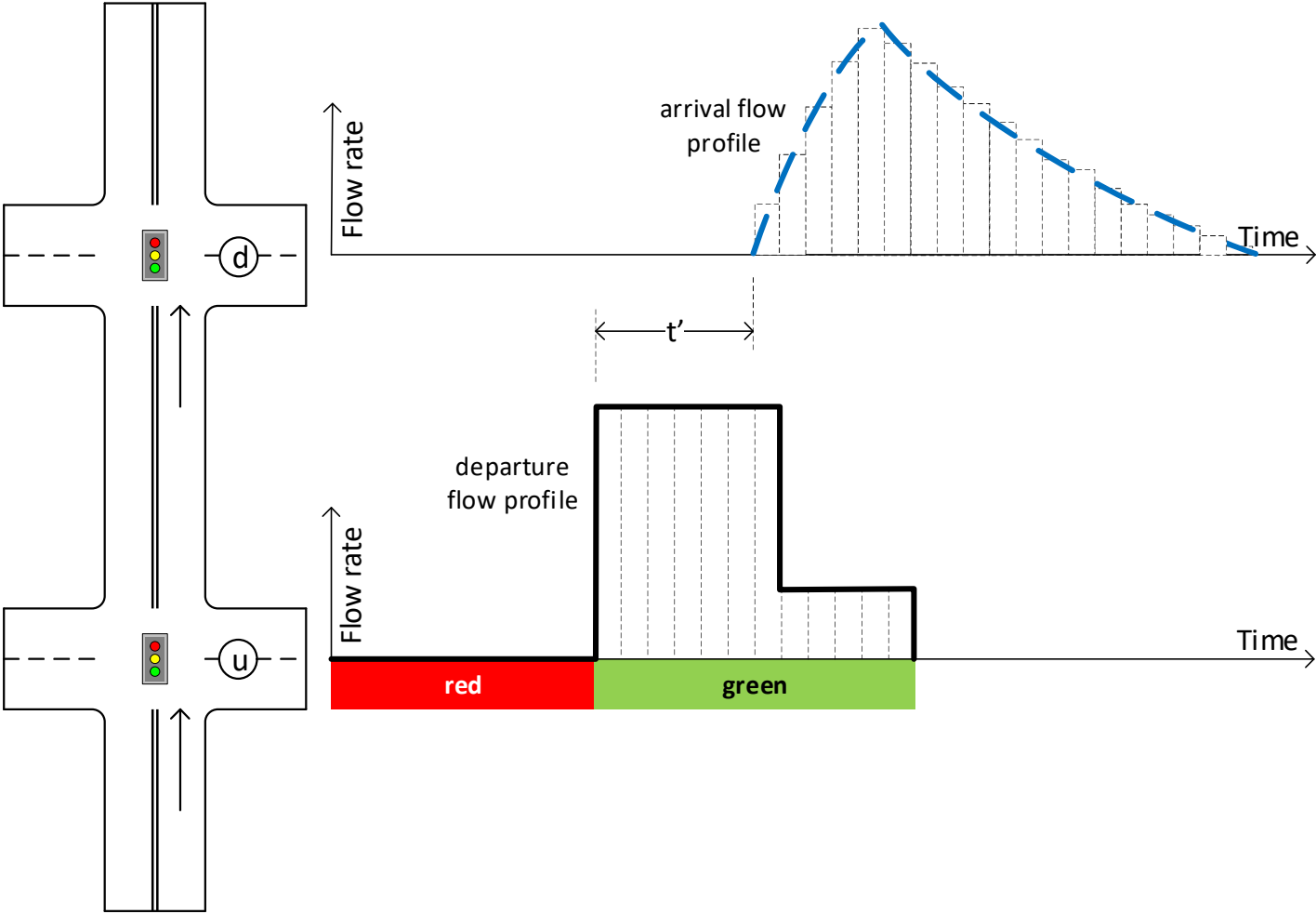
### Terms We Will Use

- Offset
- Arrival flow profile
- Departure flow profile
- Time step
- Average travel time
- Queue size

# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

Predicted downstream flow at time step  $i$ :

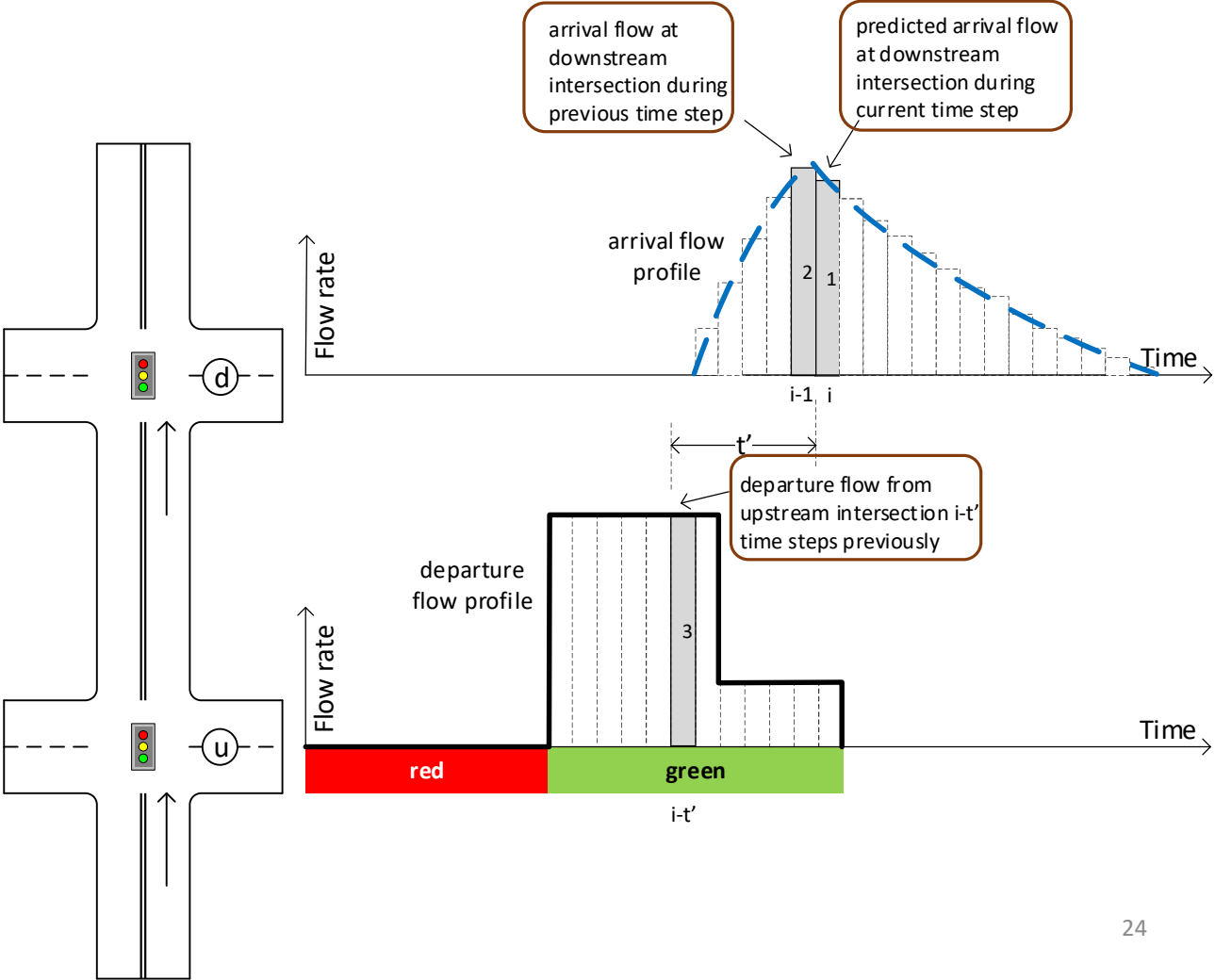
- Upstream flow  $t'$  time steps earlier
- Downstream flow one time step earlier

$$q_{d,i} = Fq_{u,i-t'} + (1 - F)q_{d,i-1}$$

$$F = \frac{1}{1.315 + 0.138t_R}$$

$$t' = t_R - \frac{1}{F} + 1.25$$

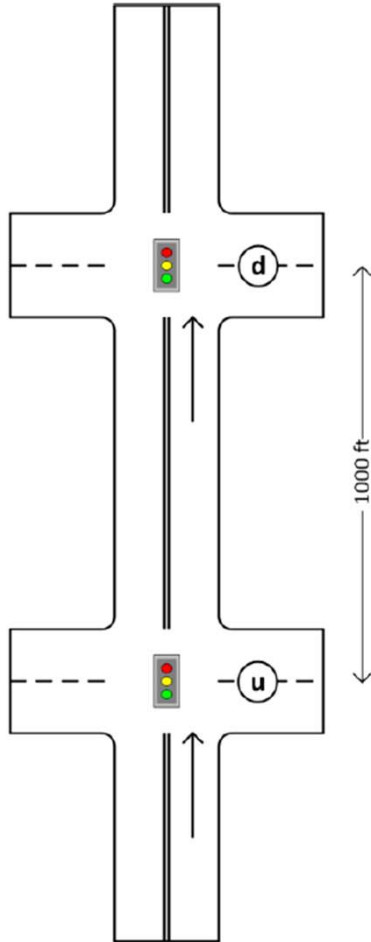
Predicted time for front of platoon to travel from upstream to downstream intersection





## 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

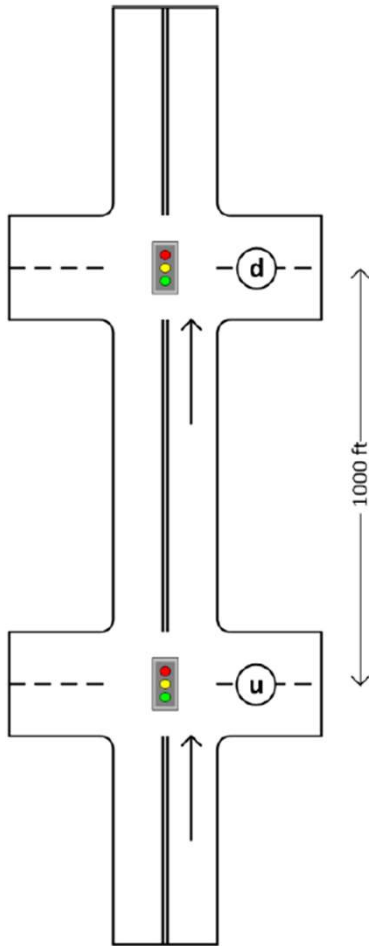
### Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



- Arrival flow rate is 600 veh/hr
- Intersection spacing is 1000 ft
- $C = 60$  sec
- $g/C = 0.5$
- $s = 1900$  veh/hr
- Average vehicle speed = 25 mi/hr or 36.75 ft/sec

## 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



$$g_s = \frac{vr}{s - v} = \frac{(600 \frac{veh}{hr})(30 \text{ sec})}{1900 \frac{veh}{hr} - 600 \frac{veh}{hr}} = 13.8 \text{ sec}$$

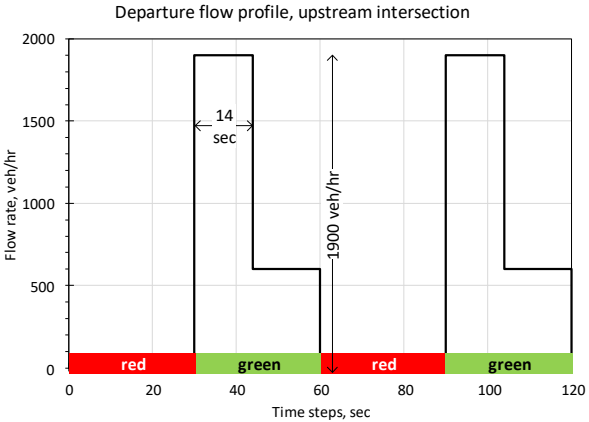
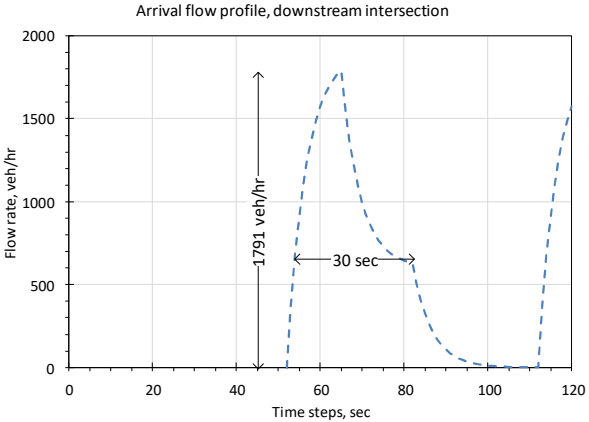
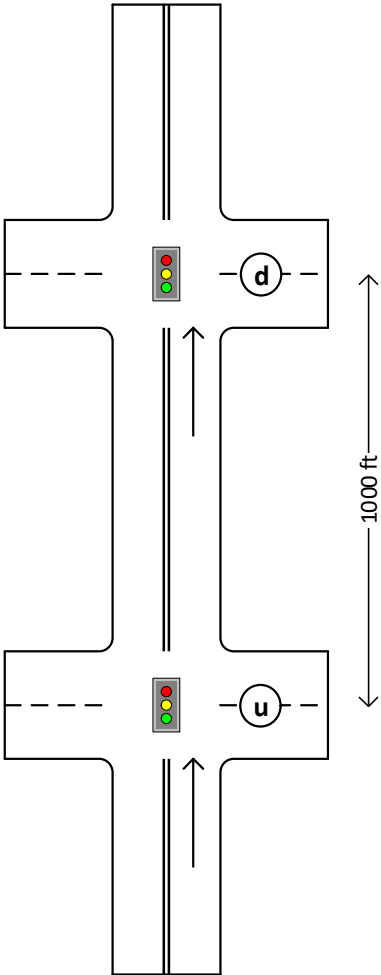
$$t_R = \frac{\text{distance}}{\text{average travel speed}} = \frac{1000 \text{ ft}}{36.75 \text{ ft/sec}} = 27.2 \text{ sec}$$

$$F = \frac{1}{1.315 + 0.138t_R} = \frac{1}{1.315 + (0.138)(27.2)} = 0.197$$

$$t' = t_R - \frac{1}{F} + 1.25 = 27.2 - \frac{1}{0.197} + 1.25 = 23 \text{ sec}$$

# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

- Pretimed
- Demand < capacity
- TH movements only
- Non-uniform arrivals
- Interconnected

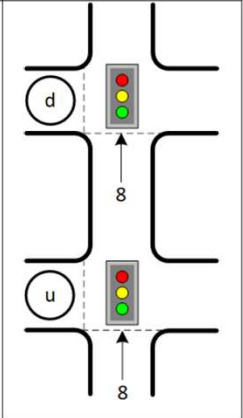


Figure 4-71. Scenario 4-6

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection

Time step (sec)	Upstream departure flow rate (veh/hr)	Downstream arrival flow rate (veh/hr)
1-30	0	0
31-43	1900	0
44-53	600	0
54	600	375
55	600	676
56	600	917
57	600	1111
58	600	1267
59	600	1392
60	600	1492

$$q_{d,i} = Fq_{u,i-t'} + (1 - F)q_{d,i-1}$$

$$q_{d,54} = Fq_{u,31} + (1 - F)q_{d,53}$$

$$q_{d,54} = (0.197)(1900) + (0.803)(0) = 375 \text{ veh/hr}$$

$$q_{d,55} = Fq_{u,32} + (1 - F)q_{d,54}$$

$$q_{d,55} = (0.197)(1900) + (0.803)(375) = 676 \text{ veh/hr}$$

# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

- Pretimed
- Demand < capacity
- TH movements only
- Non-uniform arrivals
- Interconnected

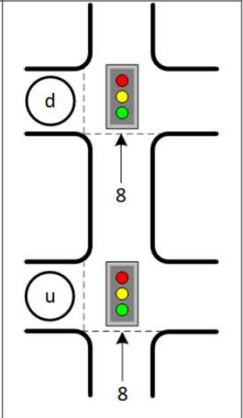
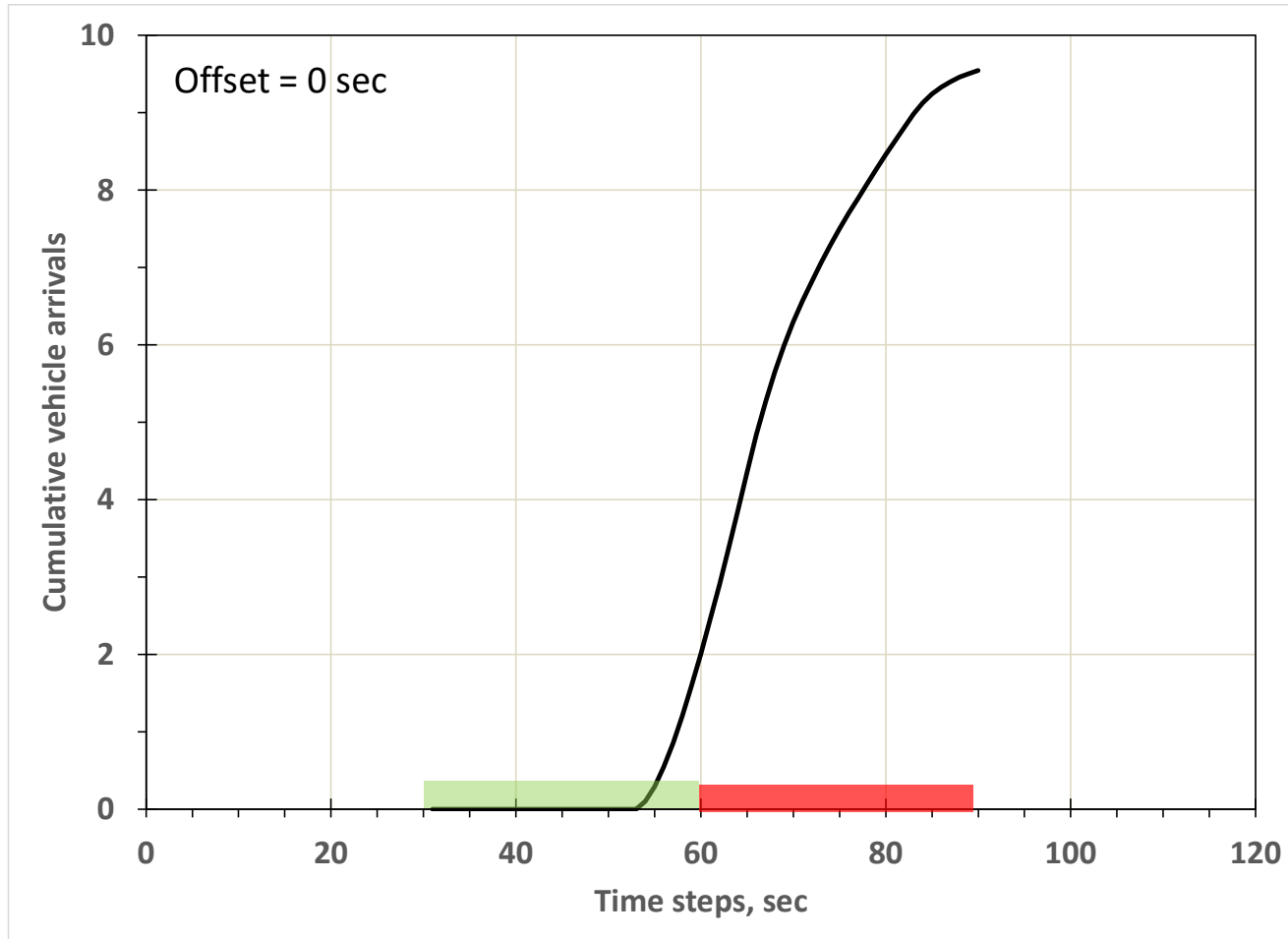


Figure 4-71. Scenario 4-6

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

- Pretimed
- Demand < capacity
- TH movements only
- Non-uniform arrivals
- Interconnected

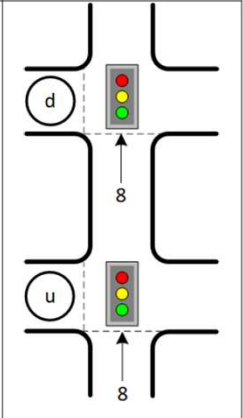
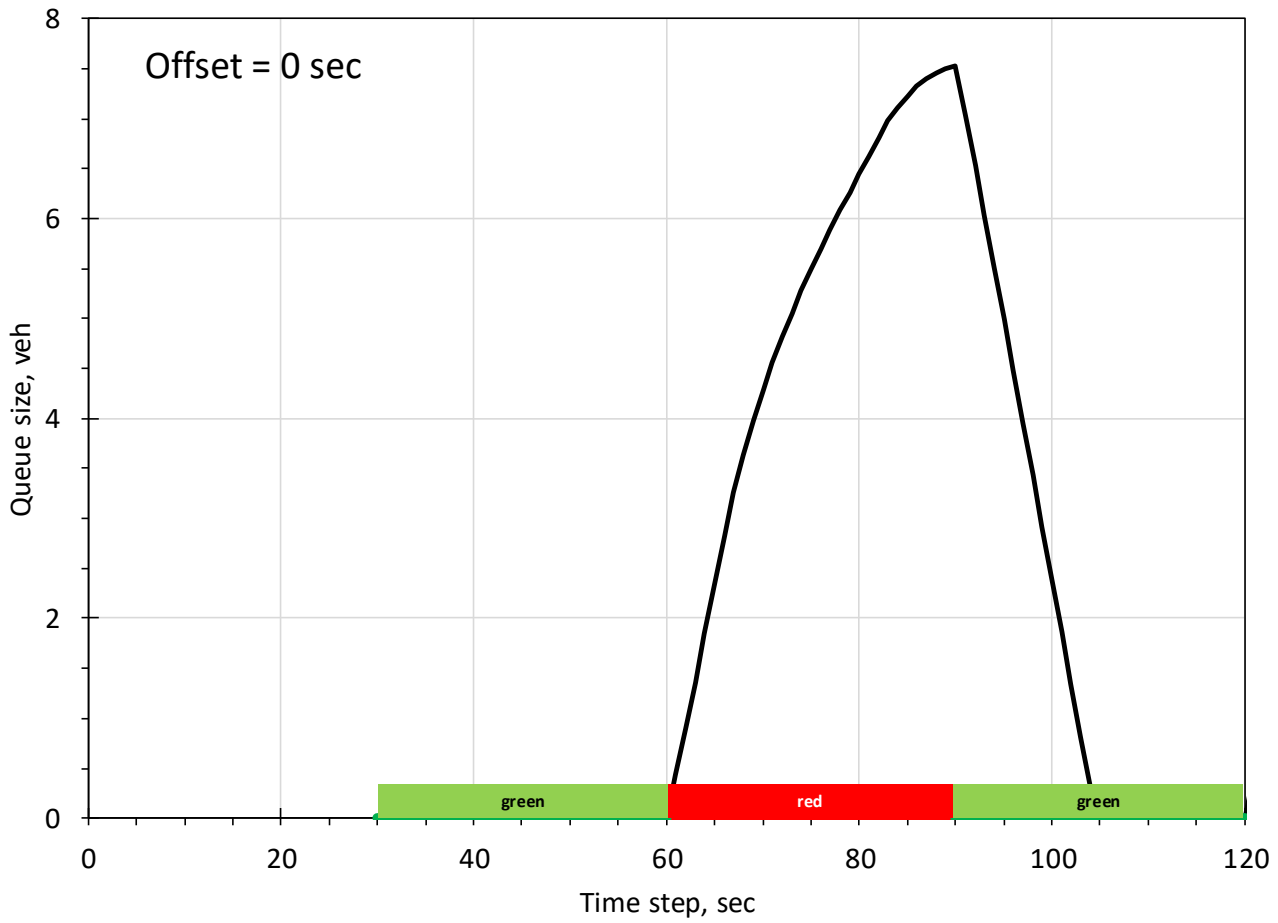


Figure 4-71. Scenario 4-6

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

- Pretimed
- Demand < capacity
- TH movements only
- Non-uniform arrivals
- Interconnected

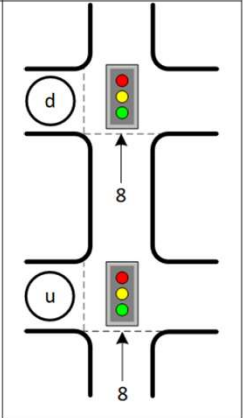
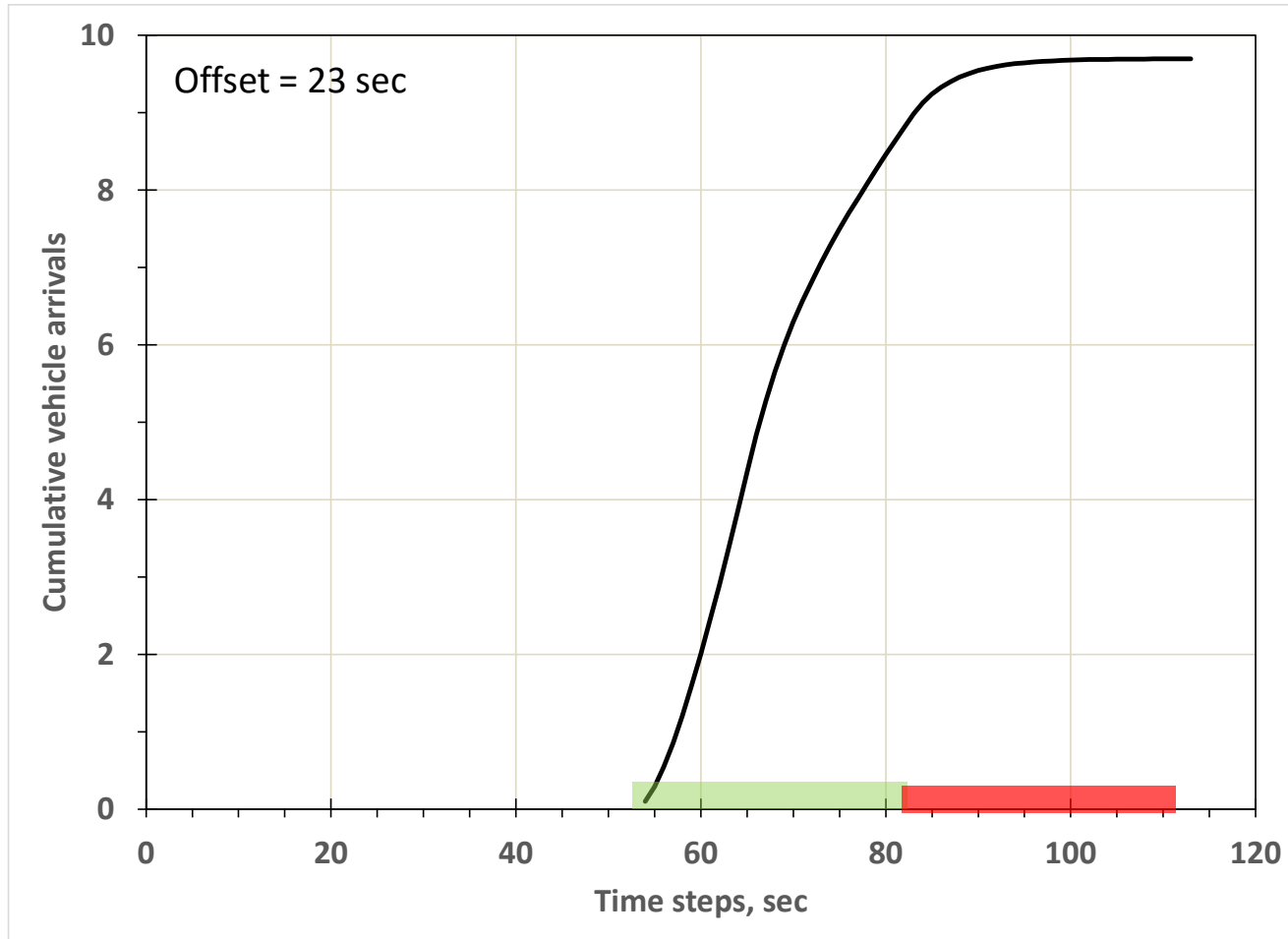


Figure 4-71. Scenario 4-6

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

- Pretimed
- Demand < capacity
- TH movements only
- Non-uniform arrivals
- Interconnected

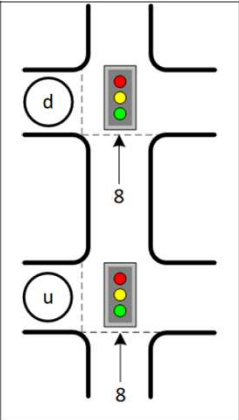
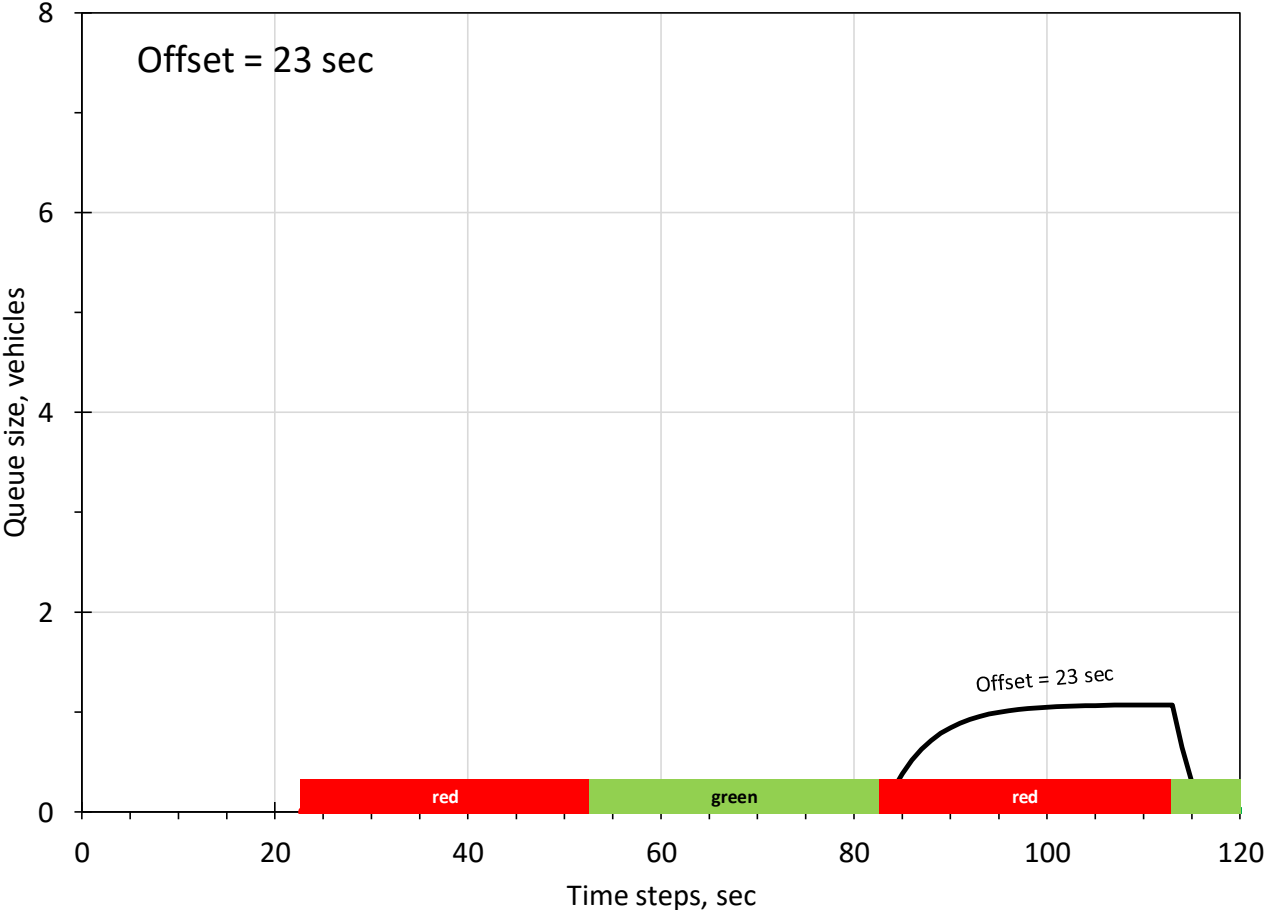


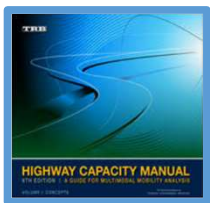
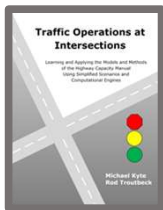
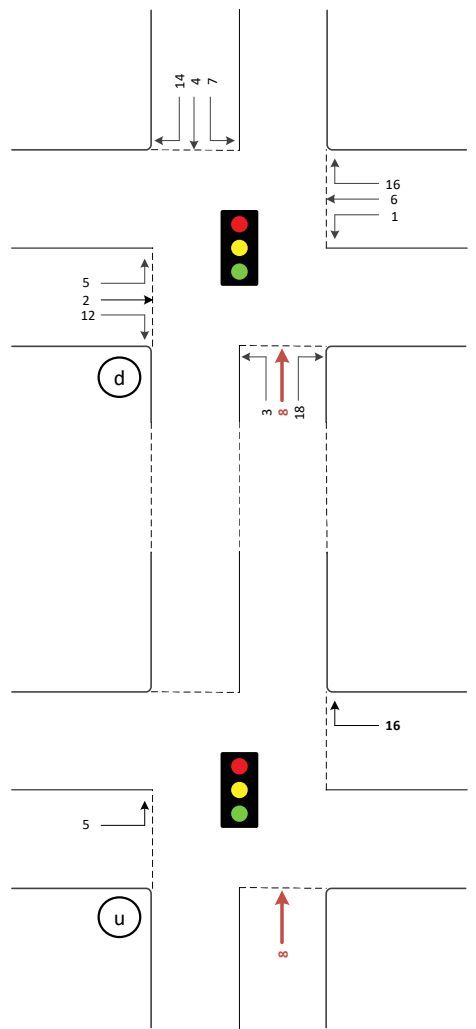
Figure 4-71. Scenario 4-6

## Example Calculation 4-18. Calculating the Arrival Pattern at the Downstream Intersection

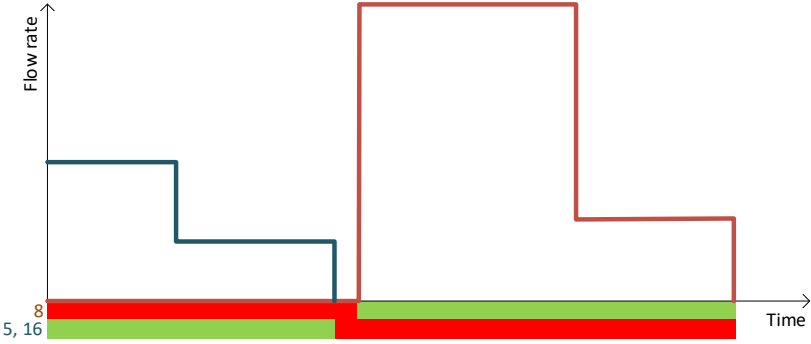
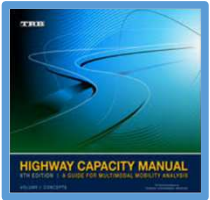
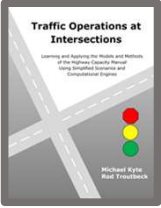
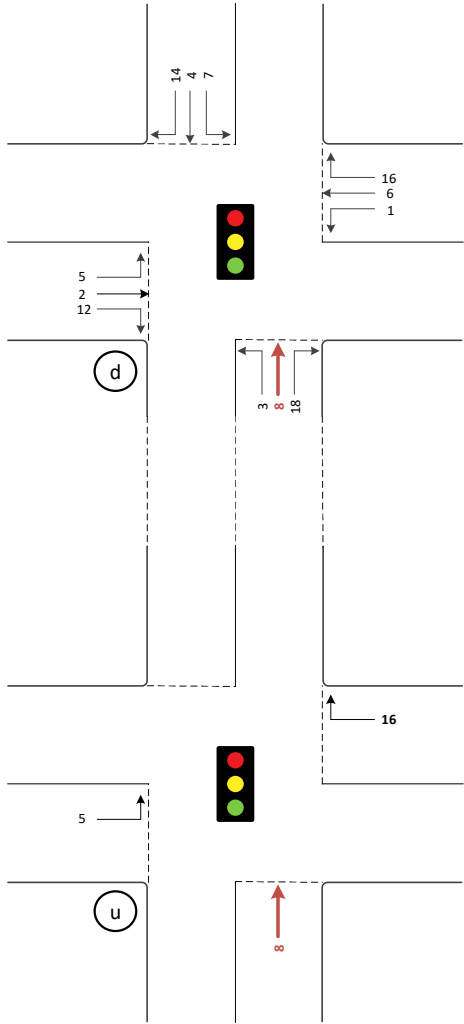




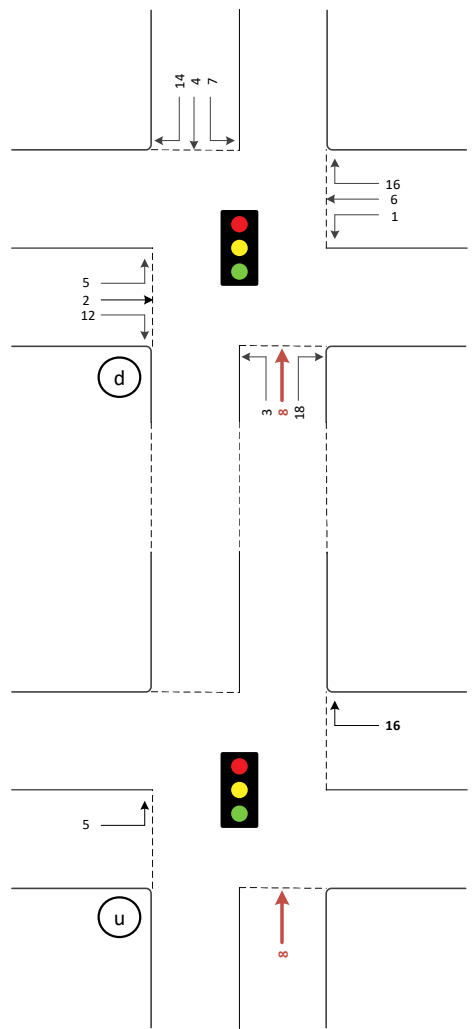
# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform



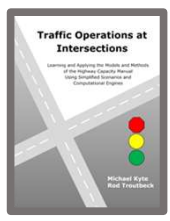
# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform



# 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform



Arrival Type	Progression Quality	Proportion Arriving During Green
1	Very poor	.17
2	Unfavorable	.33
3	Random (or uniform) arrivals	.50
4	Favorable	.67
5	Highly favorable	.83
6	Exceptionally favorable	1.00



## 11. Scenario 4-6. Calculating Delay on a Lane When the Arrival Pattern is Non-Uniform

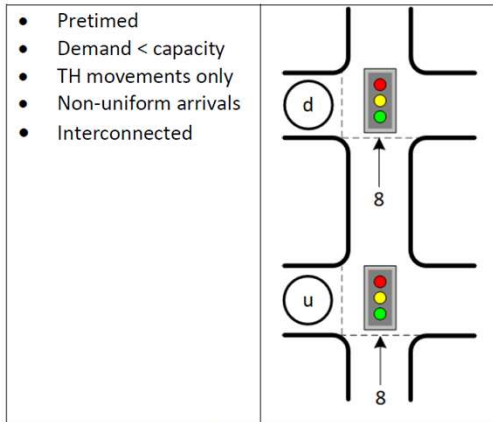


Figure 4-71. Scenario 4-6



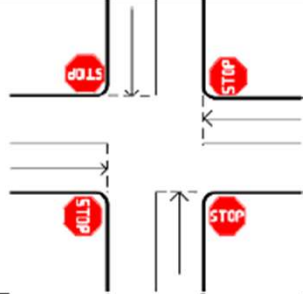
### The Big Picture

- We've previously assumed uniform arrivals.
- What happens if there is an upstream signal affecting the arrival pattern by creating platoons?
- How do we model a dispersing platoon traveling from one intersection to the next?
- How does the departure flow profile at the upstream intersection transition to the arrival flow profile at the downstream intersection?
- What is the signal offset?



## Topics for today

- Check-in
- Some perspective and context
- Diving in: Exploring the simplified scenarios
- **The other scenarios**
- Check-out and closure

Intersection Control	Scenario	Conditions	Illustration
AWSC intersections 	2-1. Calculating the capacity of each lane for an intersection of two one-lane one-way streets	<ul style="list-style-type: none"> <li>• Two one-way streets</li> <li>• TH movements</li> </ul>	
	2-2. Calculating the capacity of each lane for a standard 4-leg intersection	<ul style="list-style-type: none"> <li>• Four approaches</li> <li>• TH movements</li> </ul>	

### Scenario 4-5

- Pretimed control
- Demand > capacity
- TH movements
- Uniform arrivals
- Isolated

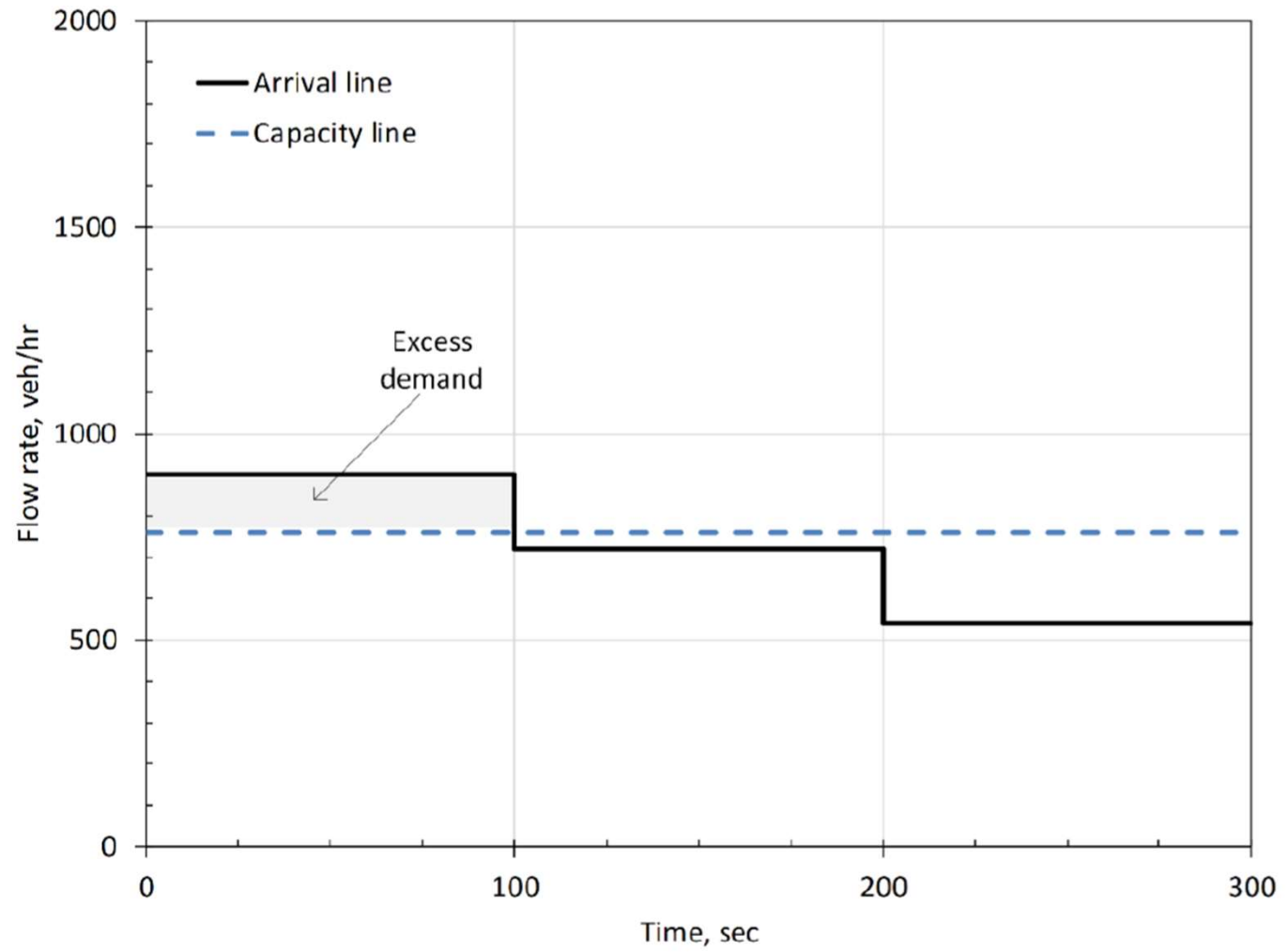


Figure 4-67. Flow profile diagram for Example Calculation 4-17

### Scenario 4-5

- Pretimed control
- Demand > capacity
- TH movements
- Uniform arrivals
- Isolated

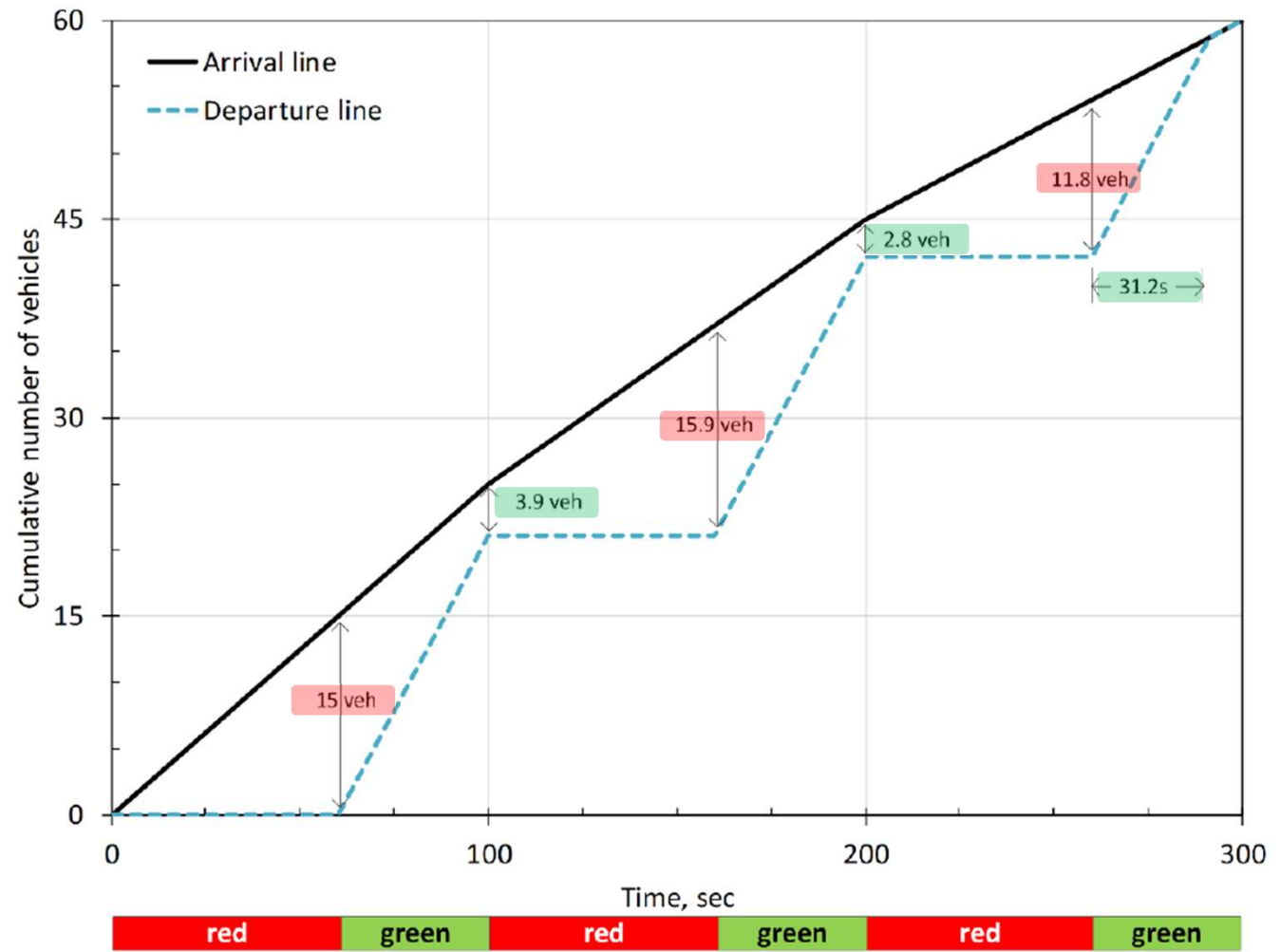


Figure 4-68. Cumulative vehicle diagram for Example Calculation 4-17



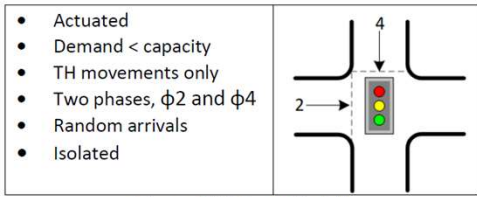


Figure 4-79. Scenario 4-7

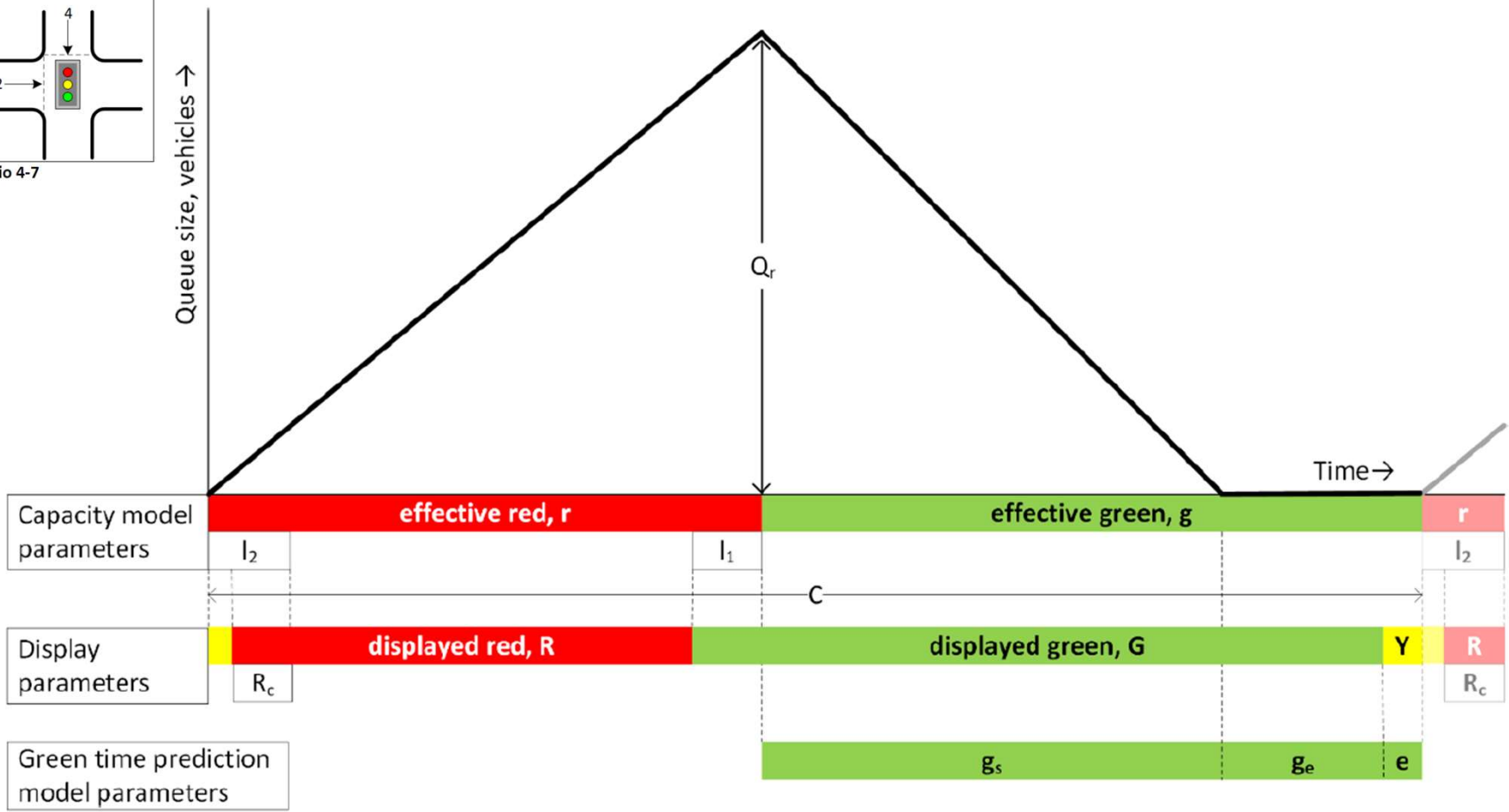


Figure 4-80. Capacity model, display model, and predicted green time prediction model parameters

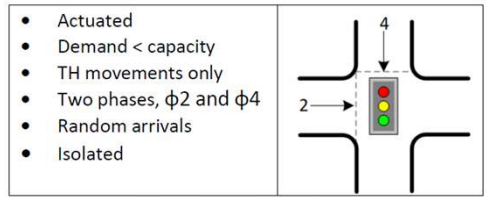


Figure 4-79. Scenario 4-7

- Occupancy time,  $t_o$
- Unoccupancy time,  $t_u$
- Passage time
- Maximum allowable headway

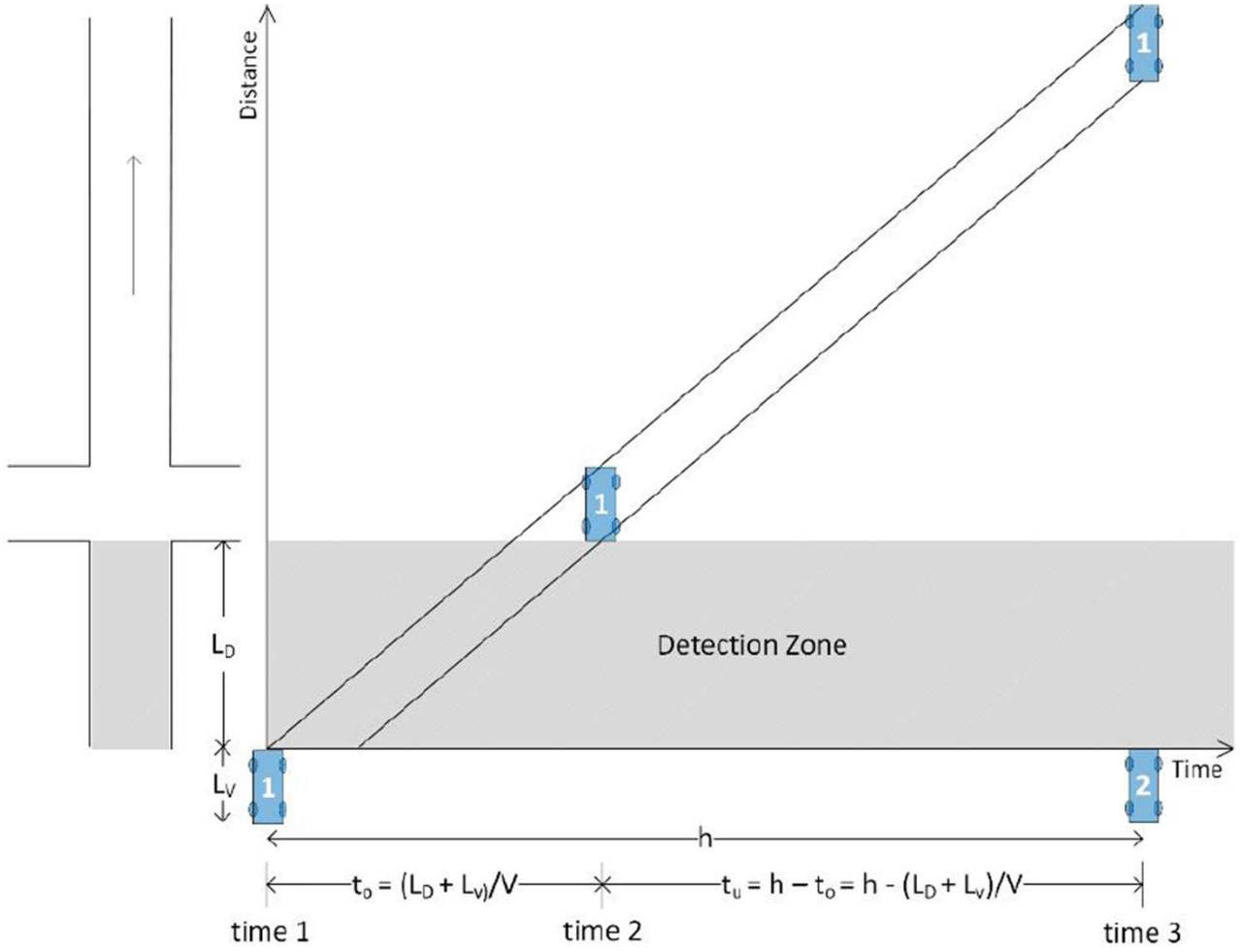


Figure 4-82. Headway, occupancy time, and unoccupancy time

## Topics for today

- Check-in
- Some perspective and context
- Diving in: Exploring the simplified scenarios
- The other scenarios
- **Check-out and closure**

# Final Questions

